



11-25-03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Name of person transmitting this correspondence Cathy Metzger

Signature of person transmitting this correspondence: Cathy Metzger

Date: November 24, 2003

Serial No. : 10/648,220  
Applicants : Solovay, K. S., et al.  
Filed : August 22, 2003  
  
Title : **LIGHT COUPLING ASSEMBLY**  
  
Examiner : T.B.A.  
Group Art Unit : 3739  
  
Attorney File No. : GSS-102

Mail Stop - Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R §§ 1.56, 1.97(b)(1) and 1.98, Applicants submit herewith Form PTO/SB/08A and PTO/SB/08B including a list of publications known to Applicants and/or their Attorney/Agent. Copies of the foreign documents and non-patent literature are submitted herewith.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Forms PTO/SB/08A and PTO/SB/08B.

This submission does not represent that a search has been made or that no better art exists. Nor does it constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents should one or more of the documents be applied against the claims of the present application.

Under 37 C.F.R. § 1.97(b)(1), this Information Disclosure Statement is being submitted within three months of the filing date of a national application; therefore, no fees are believed to be due.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Rick Comoglio", is written over a horizontal line.

Rick F. Comoglio, Registration No. 40,963  
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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/646,220          |
| Filing Date            | August 22, 2003     |
| First Named Inventor   | Solovay, K., et al. |
| Art Unit               | 3739                |
| Examiner Name          | T.B.A.              |
| Attorney Docket Number | GSS-102             |

**U. S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       |                          | US- 5,569,300                            | 10/29/96                       | Redmon   |   |
|                       |                          | US- 5,667,478                            | 09/16/97                       | McFarlin, et al.                                   |   |
|                       |                          | US- 3,614,414                            | 10/19/71                       | Gores  |   |
|                       |                          | US- 2,376,448                            | 05/22/45                       | Neugass  |   |
|                       |                          | US- 3,287,547                            | 11/22/66                       | Spedding   |   |
|                       |                          | US- 4,096,864                            | 06/27/78                       | Kletschka, et al.                                  |   |
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|                       |                          | US- 5,655,100                            | 09/1997                        | Yoon   |   |
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|                       |                          | US- 5,250,046                            | 10/05/1993                     | Lee  |   |
|                       |                          | US- 5,318,589                            | 06/07/1994                     | Lichtman   |   |
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**FOREIGN PATENT DOCUMENTS**

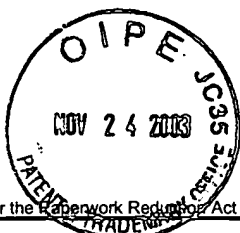
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|---|-----------------------------------|--|---|----------------|
|                       |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                       |                          | WO 99/01696   | 01/14/99                          | PCT  |   |                |
|                       |                          | WO 90/10420   | 09/20/90                          | PCT  |   |                |
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Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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First Named Inventor Solovay, K., et al.

Art Unit 3739

Examiner Name T.B.A.

Attorney Docket Number GSS-102

Sheet

of

**NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|-----------------------|--------------------------|---|----------------|
|                       |                          | Form PCT/ISA/210, International Search Report for PCT/US01/23026 mailed February 1, 2002  |                |
|                       |                          |   |                |
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